
National Stock Exchange of India Limited

Details of contravention	Penalty/Disciplinary Action
Failure to implement the framework to provide the facility of voluntary freezing/blocking the online access of Trading Accounts to the clients.	<p>Monetary penalty of Rs. 50,000/- + Direction to comply with the requirement of comply with provisions of the circular no. NSE/INSP/61529 dated April 08, 2024 within 30 days from the date of communication.</p> <p>On 30th day, intimation shall be sent to the Member giving 7 days' time to comply, failing which the member shall be prohibited from registering new clients.</p> <p>On 37th day, notice shall be sent to the Member giving 7 days' time to comply, failing which the Trading facility of Member in all segments shall be disabled till submission of compliance report.</p>